

FORM PTO-1390
(Rev 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

OT20 Rec'd PCT/PTO 03 SEP 2004

ATTORNEY'S DOCKET NUMBER

HAFTOM P01AUS

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 C.F.R.1.5)

10/506731

INTERNATIONAL APPLICATION NO.

PCT/AT03/00064

INTERNATIONAL FILING DATE

March 6, 2003

PRIORITY DATE CLAIMED

March 6, 2002

TITLE OF INVENTION

DEVICE FOR CODING, TRANSMITTING AND/OR RECEIVING SIGNALS

APPLICANT(S) FOR DO/EO/US

Franz WITTHALM, Martin RUCKENSTEINER and Christian FISCHER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau. (PCT/IB/308 mailed September 12, 2003)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
6. ☐ The International Application, with paragraph numbering added thereto, is attached.
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An unsigned oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 with PTO/SB/08A/08B.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification w/Marked-Up Version of Amended Specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

<input type="checkbox"/> Preliminary Examination Report <input type="checkbox"/> Annexes to Pre. Ex. Rep. <input type="checkbox"/> International Search Report <input checked="" type="checkbox"/> Austrian Novelty Search Reports <input checked="" type="checkbox"/> 8 copies of citations <input checked="" type="checkbox"/> Form PCT/IB/308 <input checked="" type="checkbox"/> International Publ. No. <u>WO 03/075142 A2 (Face page only)</u>	<input checked="" type="checkbox"/> Copy of Request <input checked="" type="checkbox"/> Submission of Formal Drawings <input checked="" type="checkbox"/> <u>2</u> sheets of formal drawings <input checked="" type="checkbox"/> Abstract <input type="checkbox"/> Applicant Claims Small Entity Status <input type="checkbox"/> Copy of Notification of File Missing Parts <input checked="" type="checkbox"/> German Language Specification <input checked="" type="checkbox"/> PCT/IB/306 <u>2</u>
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CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Transmittal Letter and the papers indicated as being transmitted therewith is being deposited with the United States Postal Service on this date **September 3, 2004** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV544787151US addressed to the: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Michael J. Bujold

(typed or printed name of person mailing paper)

(signature of person mailing paper)

10/506731
17. The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(5):
 Search Report has been prepared by the EPO or JPO \$920.00
 International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(1) .. \$730.00
 No international preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(2)
 but international search fee paid to USPTO (37 CFR 1.445(a)(2)). \$700.00
 Neither international preliminary examination fee (37 CFR 1.492)(a)(3)
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1080.00
 International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(4)
 and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00
 ENTER APPROPRIATE BASIC FEE AMOUNT =

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CALCULATIONS PTO USE ONLY

920

0

Claims	Number Filed	Number Extra	Rate
Total Claims	19-20 =	0	x \$18.00
Independent Claims	2-3 =	0	x \$86.00
Multiple dependent claim(s) (if applicable)			+ \$290.00

TOTAL OF ABOVE CALCULATIONS =

920

Reduction by 1/2 for filing by small entity, if applicable. **Applicant Claims Small Entity Status.** (Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL =

920

Processing fee of \$130.00 for furnishing the English translation later the ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

0

TOTAL NATIONAL FEE =

920

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

0

TOTAL FEES ENCLOSED =

920

Amount to be:
refunded

\$

charged

\$

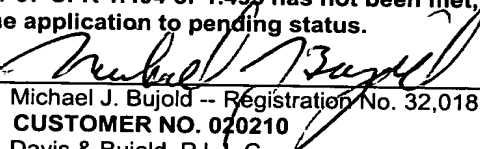
a. A check in the amount of \$920 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 04-0213 in the amount of \$ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0213. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Franz WITTHALM, Martin RUCKENSTEINER
and Christian FISCHER
Filed : with an effective filing date of March 6, 2003
For : DEVICE FOR CODING, TRANSMITTING
AND/OR RECEIVING SIGNALS
Docket : HAFTOM P01AUS

The Commissioner for Patents
U.S. Patent & Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF FORMAL DRAWINGS

Enclosed please find two (2) sheets of formal drawings which are to be entered in this case.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,


Michael J. Bujold, Reg. No. 32,018

Customer No. 020210

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